

# Polynomials IV - Abel's Theorem and Applications

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## 1 Solvable Groups

Recall that a subgroup  $H$  of a group  $G$  is called *normal* if  $ghg^{-1} = h$  for all  $h \in H$  and all  $g \in G$ . We write  $H \triangleleft G$  when  $H$  is a normal subgroup of  $G$ .

**Definition 1** A group  $G$  is called **solvable** (or **soluble**) if there exist subgroups

$$\{e\} \triangleleft G_1 \triangleleft G_2 \triangleleft \dots \triangleleft G_{n-1} \triangleleft G$$

such that the quotients  $G/G_{n-1}$ ,  $G_{n-1}/G_{n-2}$ , ...,  $G_2/G_1$ , and  $G_1/\{e\}$  are all abelian. (Usually, the trivial group is denoted  $G_0$  and  $G$  itself is denoted  $G_n$ .)

**Problem 1** • Show that every abelian group is solvable.

*Solution:* If  $G$  is abelian, then every subgroup (in particular the trivial one) is normal, so we have  $\{e\} \triangleleft G$ .

• Show that the permutation group  $S_3$  is solvable.

*Solution:*  $\{e\} \triangleleft \{e, (123), (132)\} \triangleleft S_3$ . (The group in the middle is  $\mathbb{Z}/3$ , which is abelian.)

• (Challenge) Show that  $S_4$  is solvable.

*Solution:*  $\{e\} \triangleleft \{e, (12)(34), (13)(24), (14)(23)\} \triangleleft A_4 \triangleleft S_4$  (see below for definition of  $A_4$ ).

• Show that any subgroup of a solvable group is solvable.

*Solution:* Let  $H$  be a subgroup of  $G$ , and suppose  $G$  is solvable, and take  $\{e\} = G_0 \triangleleft \dots \triangleleft G_n = G$  as in the definition. Then  $\{e\} = G_0 \cap H \triangleleft \dots \triangleleft G_n \cap H = H$ , and all the quotients  $(G_j \cap H)/(G_{j-1} \cap H)$  are still abelian.

As Problem 1 shows, most groups that we could possibly think of are solvable. The most important example of a non-solvable group, and also the smallest, is the following group with 60 elements (think about why it has 60 elements!)

**Definition 2** To every permutation  $\sigma \in S_n$ , written in cycle notation, associate with it a number as follows:

- To a  $k$ -cycle, associate the number  $k - 1$ .
- To the product of two permutations, associate the sum of their numbers.

$\sigma$  is called **even** if this number is even, and **odd** if this number is odd.

Let  $A_n$  be the subset of  $S_n$  containing all the even permutations.

**Problem 2** Show that  $A_n$  is a subgroup of  $S_n$ .

*Solution:* Since  $A_n$  is a subset of  $S_n$ , we need to check that it is closed under composition of permutations. But this is by definition: since the sum of two even numbers is even, the composition of two even permutations is still even.

$A_n$  is called the *alternating group* on  $n$  elements (recall that  $S_n$  is called the *symmetric group*).

**Theorem 1** For  $n \geq 5$ ,  $A_n$  is **simple** - that is, it has no normal subgroups besides the trivial subgroup and itself.

**Problem 3** Show that  $A_5$  is not solvable. Then show that  $S_5$  is not solvable.

*Solution:* Suppose  $A_5$  were solvable. Then there is a sequence  $\{e\} = G_0 \triangleleft \dots \triangleleft G_n = A_5$ . But  $A_5$  is simple, so  $G_{n-1}$  is either trivial or  $A_5$ , and so on - there is some  $j$  such that  $G_j$  is trivial and  $G_{j+1}$  is  $A_5$ . But then  $G_{j+1}/G_j = A_5$  which is not abelian, which is a contradiction. Therefore  $A_5$  is not solvable, and since subgroups of solvable groups are solvable,  $S_5$  cannot be solvable either.

## 2 The Abel-Ruffini Theorem

Last week we showed how to extend  $\mathbb{Q}$  to larger number systems. The same process can be used to extend an extension of  $\mathbb{Q}$ , and so on.

**Problem 4** *Suppose that  $L$  is an extension of  $K$  and  $M$  is an extension of  $L$  (and therefore also an extension of  $K$ ). Show that  $\text{Gal}(M/L) \triangleleft \text{Gal}(M/K)$ .*

*Solution:* Let  $h \in \text{Gal}(M/L)$ , and  $g \in \text{Gal}(M/K)$ . Then  $h(y) = y$  for all  $y \in L$ , so for all  $x \in L$ ,  $g^{-1}(x) \in L$ , so that  $g(h(g^{-1}(x))) = g(g^{-1}(x)) = x$ , so that  $(g \circ h \circ g^{-1})(x) = x$  for all  $x \in L$ , or in other words,  $ghg^{-1} \in \text{Gal}(M/L)$ , and therefore this forms a normal subgroup.

**Problem 5** *Let  $K, L, M$  be as in the previous problem. Show that  $\text{Gal}(M/K)/\text{Gal}(M/L) = \text{Gal}(L/K)$ .*

*Solution:*  $f, g \in \text{Gal}(M/K)$  are equivalent under  $\text{Gal}(M/L)$  if they are the same function on  $L$ , as their behavior outside can be modified by the normal subgroup. Therefore equivalence classes in the left-hand side are represented by automorphisms of  $L$  over  $K$ , which is exactly the right-hand side.

**Problem 6** *Show that for any number system  $K$ ,  $\text{Gal}(K/K)$  is the trivial group.*

*Solution:* By definition, if  $f \in \text{Gal}(K/K)$ , then  $f(x) = x$  for all  $x \in K$ , or in other words,  $f$  is the identity function, so this is the only element of  $\text{Gal}(K/K)$ .

We also state the following useful theorem (try to think about how you would prove this!)

**Theorem 2** *If  $L = K(\sqrt[n]{\alpha})$ , where  $\alpha \in K$  and this is any  $n^{\text{th}}$  root of  $\alpha$  (i.e. using any  $n^{\text{th}}$  root of unity), then  $\text{Gal}(L/K)$  is cyclic.*

**Definition 3** A polynomial is said to be **solvable in radicals** if there is a formula for each of its roots in terms of rational numbers and addition, subtraction, multiplication, division, and taking  $n^{\text{th}}$  roots.

**Problem 7** Suppose that  $p$  is a polynomial which is irreducible over  $\mathbb{Q}$  and solvable in radicals. Let  $x$  be a root of  $p$ .

- Let  $K$  be a splitting field for  $p$ . Show that there is a sequence

$$\mathbb{Q} = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K_{n-1} \subseteq K_n = K$$

where each  $K_j$  is an extension of  $K_{j-1}$  by the  $n^{\text{th}}$  root of an element of  $K_{j-1}$ . (Hint: Since  $p$  is solvable in radicals,  $x$  can be written in radicals, so construct  $K_1, K_2, \dots$  in a way that undoes all the radicals in the formula for  $x$ .)

*Solution:* Let  $K_0 = \mathbb{Q}$ , and let  $K_1 = K_0(\alpha)$ , where  $\alpha$  is the innermost radical in the expression for  $x$ . Then let  $K_2 = K_1(\beta)$  for the next outermost radical  $\beta$ , and so on, until all the radical expressions are adjoined in this way - by definition the final term  $K_n \ni x$  so  $K_n = K$  is a splitting field.

For example, the cubic formula gives

$$x = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

We would have, in this case

$$\begin{aligned} K_0 &= \mathbb{Q} \\ K_1 &= \mathbb{Q} \left( \sqrt{\frac{q^2}{4} + \frac{p^3}{27}} \right) \\ K_2 &= K_1 \left( \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} \right) \end{aligned}$$

Note that, in this case, only one of the cube roots ( $u, v$ ) is needed, because we have  $v = -q - u^3$ .

- Use this sequence and Problem 4 to obtain a sequence of normal subgroups of  $\text{Gal}(K/\mathbb{Q})$ .

*Solution:*  $\{e\} = \text{Gal}(\mathbb{Q}/\mathbb{Q}) \triangleleft \text{Gal}(K_1/\mathbb{Q}) \triangleleft \dots \triangleleft \text{Gal}(K_n/\mathbb{Q}) = \text{Gal}(K/\mathbb{Q})$

- Conclude that  $\text{Gal}(K/\mathbb{Q})$  is solvable.

*Solution:* By Problem 5, each quotient above is  $\text{Gal}(K_{j+1}/\mathbb{Q})/\text{Gal}(K_j/\mathbb{Q}) = \text{Gal}(K_{j+1}/K_j)$ . By Theorem 2, each of these is cyclic, so since all cyclic groups are abelian, each quotient in the above sequence is abelian, so that  $\text{Gal}(K/\mathbb{Q})$  is solvable.

Problem 7 proves one direction of the famous Abel-Ruffini Theorem. The converse is also true, but is much trickier to prove so we shall not do so this week. To summarize, we have

**Theorem 3 (Abel-Ruffini)** A polynomial  $p$  is solvable in radicals if and only if its Galois group is solvable.

**Problem 8** • Using the fact that the cubic formula exists, prove that  $S_3$  is solvable.

*Solution:* By the existence of the cubic formula and the fact that the cubic  $x^3 - 2$  (for instance, this is the example from last week) has Galois group  $S_3$ ,  $S_3$  is solvable.

• Using the fact that  $S_4$  is solvable (see Problem 1), prove that there exists a quartic formula.

*Solution:* Let  $p$  be any quartic. If  $p$  is reducible, then it clearly has a formula by the existence of quadratic and cubic formulas. If  $p$  is irreducible, then its Galois group  $G$  is a subgroup of  $S_4$ , which is solvable, so by Problem 1  $G$  is solvable, so  $p$  is solvable in radicals.

• Can we immediately rule out the existence of a quintic formula? Why or why not?

*Solution:* No. It might be the case that no irreducible quintic has Galois group  $S_5$  or  $A_5$ .

### 3 Transitive Subgroups and Quintics

So far we have restricted attention to irreducible polynomials, and it wasn't entirely clear why. There are a few proofs on this and the previous worksheet which require irreducibility (go back and see how), but the most important application is that it forces a certain property on the Galois group - the Galois group can't just be any subgroup of  $S_n$ .

**Definition 4** A subgroup  $G$  of  $S_n$  is **transitive** if any for two different numbers  $1 \leq j, k \leq n$  there exists a permutation  $\sigma \in G$  such that  $\sigma(j) = k$ .

**Problem 9** Let  $p$  be an irreducible degree  $n$  polynomial. Prove that its Galois group is a transitive subgroup of  $S_n$ . (Hint: If it weren't transitive, there would be roots  $r_j$  and  $r_k$  which cannot be mapped to each other by the Galois group. Consider the set of roots which are mapped to from  $r_j$ , which is now missing some  $r_k$ , and use this set of roots to create a nontrivial factor of  $p$ .)

*Solution:* Suppose it were not transitive, so there exist roots  $r_j$  and  $r_k$  which are not mapped to each other. Let  $r_{j_1}, \dots, r_{j_l}$  be the roots which are mapped to from  $r_j$ , so that this set does not include  $r_k$ . It is nonempty, since  $r_j$  is in the set (it is mapped from  $r_j$  by the identity permutation), and it does not contain all the roots, so taking the product  $f(x) = (x - r_{j_1}) \dots (x - r_{j_l})$  gives a nonconstant polynomial with a smaller degree than  $p$ . But since all of the roots of  $f$  are roots of  $p$ ,  $p$  is divisible by  $f$ , which contradicts the fact that  $p$  is irreducible.

**Problem 10** Consider the polynomial  $p(x) = x^5 - 13x - 13$ , and let  $G$  be its Galois group.

- Using Eisenstein's Criterion (recall from last quarter), show that  $p$  is irreducible over  $\mathbb{Q}$ .

*Solution:*  $p = 13$ .

- Show that  $G$  contains a transposition (a 2-cycle). (Hint: You may use the fact that  $p$  has exactly three real roots - this can be seen by graphing it.)

*Solution:* The transposition is given by complex conjugation, which switches the two non-real roots and fixes the three real roots.

- Show that  $G$  contains all ten transpositions in  $S_5$ . (Hint: Say you have the transposition  $g = (12)$ . By transitivity there exists some  $h$  such that  $h(2) = 3$ , so what can  $hgh^{-1}$  possibly be? Repeat this process until you've shown that  $(13) \in G$ . Then do this again for  $(14), (15) \in G$ . Now can you get the other six transpositions in  $G$ ?)

*Solution:* Without loss of generality, complex conjugation represents the permutation  $g = (12)$ . Let  $h$  be some permutation such that  $h(2) = 3$ . When finding  $hgh^{-1}$ ,  $g$  will only affect  $h(1)$  and  $h(2)$ , the latter which is given to be 3 - any other numbers are unaffected by  $g$  and therefore unaffected by  $hgh^{-1}$ . So  $hgh^{-1}$  is the transposition which switches 3 with  $h(1)$ , which can either be 1, 2, 4, or 5. If  $h(1) = 1$ , then  $(13) \in G$ . If  $h(1) = 2$ , then  $(23)(12)(23) = (13) \in G$ . If  $h(1) = 4$ , then we find another permutation  $i$  such that  $i(2) = 5$ , and repeat this argument, eventually finding that  $(13) \in G$  in this case as well (and similarly, also when  $h(1) = 5$ ). Therefore  $(13) \in G$ , and a similar argument now shows  $(14) \in G$  and  $(15) \in G$ , and a similar argument also shows that since  $(12) \in G$ ,  $(23), (24), (25) \in G$ , and so on for the others.

- Show that the transpositions generate  $S_5$ ; that is, every permutation in  $S_5$  can be written as a product of transpositions. (Hint: Every permutation can be written in cycle notation. Can you write a cycle as a product of transpositions?)

*Solution:* Any cycle  $(a_1 \dots a_n)$  can be written as  $(a_2 a_3) \dots (a_{n-1} a_n)(a_1 a_n)$ , so any permutation can be written as transpositions by writing each of its cycles this way.

- Conclude that  $p$  is not solvable in radicals, and therefore that there is no quintic formula.

*Solution:* The above shows that  $G$  contains every permutation on 5 elements, so  $G$  is  $S_5$ , which is not solvable, so  $p$  is not solvable in radicals by Abel's Theorem.