

# Rational Approximation

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## 1 Results

1. (Farey sequences) Let the  $n$ th **Farey sequence**

$$F_n = \left[ \frac{0}{1} = \frac{a_0}{b_0}, \frac{a_1}{b_1}, \frac{a_2}{b_2}, \dots, \frac{a_N}{b_N} = \frac{1}{1} \right]$$

be the sequence of reduced rational numbers with denominator less than or equal to  $n$ . Then if  $\frac{a_i}{b_i} \in F_n$  has denominator  $n$ , we have

$$\frac{a_i}{b_i} = \frac{a_{i-1} + a_{i+1}}{b_{i-1} + b_{i+1}}$$

2. (Stern-Brocot sequence) We generate **Stern-Brocot sequences** of rational numbers in  $[0, 1]$  by the following rules. Set  $S_1 = \left[ \frac{0}{1}, \frac{1}{1} \right]$ , and then if

$$S_n = \left[ \frac{0}{1} = \frac{a_0}{b_0}, \frac{a_1}{b_1}, \frac{a_2}{b_2}, \dots, \frac{a_N}{b_N} = \frac{1}{1} \right]$$

form  $S_{n+1}$  by inserting the **mediant** of each pair of neighbors in  $S_n$ :

$$S_{n+1} = \left[ \frac{0}{1} = \frac{a_0}{b_0}, \frac{a_0 + a_1}{b_0 + b_1}, \frac{a_1}{b_1}, \frac{a_1 + a_2}{b_1 + b_2}, \dots, \frac{a_{N-1} + a_N}{b_{N-1} + b_N}, \frac{a_N}{b_N} = \frac{1}{1} \right]$$

Then every rational number appears in some  $S_n$ .

3. (Continued fraction evaluation) Let

$$[a_0; a_1, a_2, \dots] = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots}}}$$

be a continued fraction. Then if

$$\frac{p_n}{q_n} = a_0 + \frac{1}{a_1 + \frac{1}{\dots + \frac{1}{a_n}}}$$

denotes the  $n$ th convergent, we have

$$\frac{p_{n+1}}{q_{n+1}} = \frac{a_{n+1}p_n + p_{n-1}}{a_{n+1}q_n + q_{n-1}}$$

and furthermore

$$p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}$$

4. (Continued fraction approximation) Let  $[a_0; a_1, a_2, \dots]$  be the continued fraction approximation of the irrational number  $\alpha$ . Then the sequence of convergents  $\frac{p_n}{q_n}$  are the best rational approximations of  $\alpha$  in the sense that  $\frac{p_{n+1}}{q_{n+1}}$  is the fraction  $\frac{p}{q}$  of least denominator such that

$$|q\alpha - p| < |q_n\alpha - p_n|$$

5. (Dirichlet) If  $\alpha$  is irrational, then for all  $N$  there exists  $n \leq N$  such that

$$|n\alpha - m| < \frac{1}{N}$$

As a corollary, there exist infinitely many  $\frac{m}{n}$  such that

$$\left| \alpha - \frac{m}{n} \right| < \frac{1}{n^2}$$

6. (Hurwitz) If  $\alpha$  is irrational, then there exist infinitely many fractions  $\frac{m}{n}$  such that

$$\left| \alpha - \frac{m}{n} \right| < \frac{1}{\sqrt{5}n^2}$$

Furthermore, the constant  $\sqrt{5}$  cannot be increased, since if  $\phi = \frac{1+\sqrt{5}}{2}$  and  $\bar{\phi} = \frac{1-\sqrt{5}}{2}$ , then the best approximations of  $\phi$  are given by  $\frac{F_{n+1}}{F_n}$ , where

$$F_n = \frac{\phi^n - \bar{\phi}^n}{\sqrt{5}}$$

and

$$|F_n\phi - F_{n+1}| = \phi|F_n + \bar{\phi}F_{n+1}| = \phi|\bar{\phi}^{n+1}| = \frac{1}{\phi^n} = \frac{1}{\sqrt{5}F_n + \bar{\phi}^n}$$

7. (Thue-Siegel-Roth) For all irrational algebraic numbers  $\alpha$  and  $\epsilon > 0$ , there exists a constant  $C$  such that the inequality

$$\left| \alpha - \frac{m}{n} \right| < \frac{C}{n^{2+\epsilon}}$$

has no solutions  $\frac{m}{n}$ .

## 2 Problems

1. (Simultaneous Dirichlet) Prove that if  $\alpha_1, \alpha_2, \dots, \alpha_d$  are  $d$  irrational numbers, then for all  $N$ , there exists  $n \leq N^d$ ,  $\{m_i \in \mathbb{Z}\}$  such that

$$|n\alpha_i - m_i| < \frac{1}{N}$$

for all  $i$ . Deduce that there exist infinitely many  $n$  such that

$$\left| \alpha_i - \frac{m_i}{n} \right| < \frac{1}{n^{1+\frac{1}{d}}}$$

holds for all  $i$ .

2. Find the continued fraction approximation of  $\sqrt{2}$ .
3. Find the continued fraction approximation of  $e$ .
4. Explain why plants tend to grow their  $n$ th leaves at an angle of  $2\pi(2 - \phi)$  from the  $n - 1$ th leaf (see <http://www.maths.surrey.ac.uk/hosted-sites/R.Knott/Fibonacci/fibnat.html>).