

# Introduction to Algorithms and Complexity Theory

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**Example 1.** Algorithm to find the maximum of a list of  $N$  positive integers (call this list  $L_0, \dots, L_{N-1}$ ):

```
initialize accumulator M = 0
for each j = 0, 1, ... N-1 in order:
    if  $L_j > M$ 
        set  $M = L_j$ 
return M
```

How can we characterize the running time of an algorithm? To do this, we introduce the concept of what is known as *big-O notation*.

**Definition 1.**  $f(n) = O(g(n))$  if there exist constants  $c > 0$  and  $N > 0$  such that for all  $n \geq N$  we have

$$f(n) \leq cg(n) \tag{1}$$

**Example 2:** Some examples of  $f$ ,  $g$  and  $c$  satisfying Eq. (1):

1.  $f(n) = n$ ,  $g(n) = 3n$  (works with  $c = 3$ )
  2.  $f(n) = n + 10000000$ ,  $g(n) = 4n$  (works with  $c = 5$ )
  3.  $f(n) = n^2 + 99999n + 300$ ,  $g(n) = n^3$  (works with  $c = 2$ )
  4.  $f(n) = 1000n^2$ ,  $g(n) = e^n$  (works with  $c = 1$ )
  5.  $f(n) = \log n$ ,  $g(n) = n$  (works with  $c = 1$ )
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**Warm-up 1.** Consider the following algorithm on a List of integers of length  $N$ :

```
define f1(List): // line 1
    for each j = 0, 1, ... N-1 in order: // line 2
        for each k = 0, 1, ... N-1 in order: // line 3
            if  $List_j = List_k$  return true // line 4
    return false
```

We've demonstrated together that  $f1$  is  $O(N^2)$ . As another warm-up, what does  $f1$  actually do?

**Warm-up 2.** What does the following algorithm do? What is its runtime in big-O notation, if List has length N?

```
define f2(X, List)
  initialize A = 0
  for each j = 0, 1, ... N-1 in order:
    if Listj = X set A = A+1
  return A
```

**Warm-up 3.** What does the following algorithm do? What is its runtime in big-O notation, if List has length N?

```
define f3(List)
  initialize B = 0
  for each j = 0, 1, ... N-1 in order:
    for each k = 0, 1, ... j in order:
      if Listk > Listj set B = B+1
  return B
```

Now that we've taken a good look at some sample algorithms, let's roll up our sleeves and come up with some ourselves!

**Problem 1a.** Given a list  $L$  of integers, provide an algorithm in the style of Example 1 to find the contiguous subsequence of the list that has the maximal sum. An example input/output is shown below:

31	-41	59	26	-53	58	97	-93	-23	84
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Using big-O notation, determine the runtime of your algorithm.

**b.** Can you rewrite your algorithm from part (a) to have better asymptotic runtime?

**c.** Is the algorithm you provided in (b) optimal? If so, give a short explanation; if not, try to come up with a faster one.

**Problem 2.** Consider the simple problem statement of sorting a list of  $N$  integers. Without turning to the next page, write an algorithm that sorts the list in nondecreasing order. What is the time complexity of your sort algorithm, using Big-O notation in terms of  $N$ ?

**Problem 3.** *The MergeSort algorithm.*

In the previous problem, you came up with an algorithm that could sort a list of  $N$  numbers -- hopefully, it was at least as fast as  $O(N^2)$ . In this problem, we'll work together to write a sorting algorithm that can run in time  $O(N \log N)$ . (If you already got this in Problem 2, you may skip ahead to the next problem). Here's a simpler problem to get you started:

Suppose you are given two lists of numbers  $A$  and  $B$ , and that you have some additional information:  $A$  and  $B$  are both already sorted in nondecreasing order. Write an algorithm to construct a new list  $C$ , which has all the elements of  $A$  and all the elements of  $B$ , but is also sorted. For instance, if



**a.** Suppose  $A$  has length  $N$  and  $B$  has length  $M$ . What is the time complexity of your algorithm in Big- $O$ ? How about the special case in which  $A$  and  $B$  both have length  $N$ ?

**b.** Can you use your solution to part (a) to come up with a sorting algorithm? What is the time complexity of this sorting algorithm?

**Problem 4.** The *interval scheduling problem* is defined as follows: Input will be given as a set of tasks, each represented by an interval in which it must be executed. For example, in Figure 1, Task A must run from time 0-6, task 2 must run from 1-4, etc. As in Figure 1, we see that not all tasks can be executed, since a lot of them overlap, but you'd like to finish as many of them as possible. Your algorithm's job is to find the *maximal subset* of the tasks that can all be run without overlap. In Fig. 1, the maximal subset would be {B, E, H}, since that is the only way we can schedule more than 2 tasks.

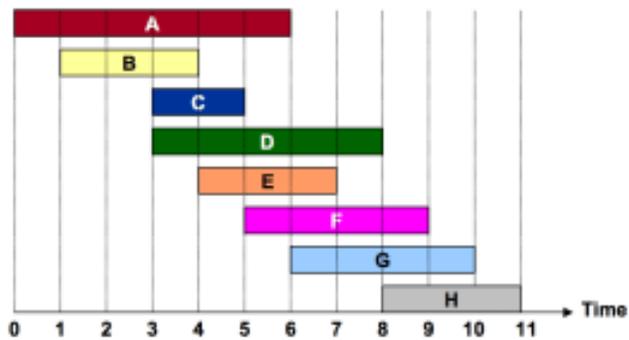
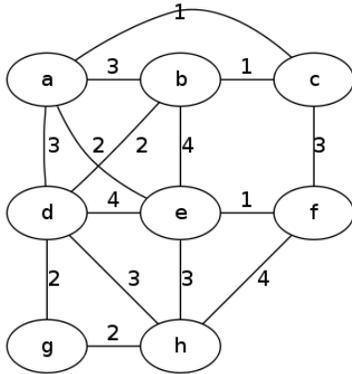


Figure 1: Interval scheduling problem

**a.** Write an algorithm to solve the interval scheduling problem. What is its complexity in terms of  $N$ , using Big-O notation, where  $N$  is the number of intervals given?

**b.** Is the complexity you found in part a) polynomial in  $N$ ? If not, can you come up with a better algorithm?

**Problem 5.** A *graph* is a collection of vertices and edges between vertices. On a given graph, we can associate nonnegative *edge costs* to each of the edge: for instance, this could represent miles between cities on a map, or communication costs between villages, etc. A *path* from a vertex A to another vertex B is simply an alternating sequence ( $A = v_0, e_1, v_1, \dots, e_k, v_k = B$ ) of vertices and edges such that  $e_j$  joins vertices  $v_{j-1}$  and  $v_j$  for each  $j$ . The *cost* or *length* of a path would be the sum of all the costs of the edges  $e_j$ .



Give an algorithm to find the shortest path from a starting vertex A to a finish vertex B in a given graph. Express the running time of your algorithm using big-O notation in terms of the number of vertices  $V$  and the number of edges  $E$  in the graph. Try to make your algorithm optimal, or at least polynomial in  $V$  and  $E$ .

### Challenge Problems:

1. The algorithm given in Warm-up 2 can be improved in terms of asymptotic runtime. Can you write a faster algorithm with the same functionality?
2. In Problem 5, you were asked to come up with a polynomial-time algorithm to find the shortest path between two vertices in a graph. Will your algorithm work if the edge costs can be negative? Alternatively, can you come up with a similar algorithm to find the *longest* path between the two vertices? Why or why not?